

The art of modeling water waves  
Habilitation à Diriger des Recherches

Vincent Duchêne

CNRS & IRMAR, Univ. Rennes 1

July 6, 2021

# Why “modeling”?

Replace “complicated” set of equations with “simple” set of equations.

① To enlighten the basic mechanisms of a phenomenon

- Wavebreaking:  $\partial_t u + u \partial_x u = 0$  (Hopf)
- Solitary waves:  $\partial_t u + u \partial_x u + \partial_x^3 u = 0$  (KdV)
- Non-smooth solitary waves (or wave breaking and solitary waves):

$$\partial_t u + \sqrt{\frac{\tanh(|D|)}{|D|}} \partial_x \zeta + \zeta \partial_x \zeta = 0 \quad (\text{Whitham})$$

② To produce approximate solutions (e.g. numerical)

- $\mathcal{O}(\mu)$  :  $\partial_t \zeta + \sqrt{gd} (\partial_x \zeta + \frac{3}{2d} \zeta \partial_x \zeta) = 0$  (Hopf)
- $\mathcal{O}(\mu^2 + \mu\epsilon)$ :  $\partial_t \zeta + \sqrt{gd} (\partial_x \zeta + \frac{3}{2d} \zeta \partial_x \zeta + d^2 \partial_x^3 \zeta) = 0$  (KdV)
- $\mathcal{O}(\mu\epsilon)$  :  $\partial_t \zeta + \sqrt{gd} (\sqrt{\frac{\tanh(d|D|)}{d|D|}} \partial_x \zeta + \frac{3}{2d} \zeta \partial_x \zeta) = 0$  (Whitham)



③ To publish papers. To have fun.

# Why “modeling”?

Replace “complicated” set of equations with “simple” set of equations.

- ① To enlighten the basic mechanisms of a phenomenon

- Wavebreaking:  $\partial_t u + u \partial_x u = 0$  (Hopf)
- Solitary waves:  $\partial_t u + u \partial_x u + \partial_x^3 u = 0$  (KdV)
- Non-smooth solitary waves (or wave breaking and solitary waves):

$$\partial_t u + \sqrt{\frac{\tanh(|D|)}{|D|}} \partial_x \zeta + \zeta \partial_x \zeta = 0 \quad (\text{Whitham})$$

- ② To produce approximate solutions (e.g. numerical)

- $\mathcal{O}(\mu)$  :  $\partial_t \zeta + \sqrt{gd} (\partial_x \zeta + \frac{3}{2d} \zeta \partial_x \zeta) = 0$  (Hopf)
- $\mathcal{O}(\mu^2 + \mu\epsilon)$ :  $\partial_t \zeta + \sqrt{gd} (\partial_x \zeta + \frac{3}{2d} \zeta \partial_x \zeta + d^2 \partial_x^3 \zeta) = 0$  (KdV)
- $\mathcal{O}(\mu\epsilon)$  :  $\partial_t \zeta + \sqrt{gd} \left( \sqrt{\frac{\tanh(d|D|)}{d|D|}} \partial_x \zeta + \frac{3}{2d} \zeta \partial_x \zeta \right) = 0$  (Whitham)

- 
- 
- 

- ③ To publish papers. To have fun.

# Why “modeling”?

Replace “complicated” set of equations with “simple” set of equations.

- ① To enlighten the basic mechanisms of a phenomenon

- Wavebreaking:  $\partial_t u + u \partial_x u = 0$  (Hopf)
- Solitary waves:  $\partial_t u + u \partial_x u + \partial_x^3 u = 0$  (KdV)
- Non-smooth solitary waves (or wave breaking and solitary waves):

$$\partial_t u + \sqrt{\frac{\tanh(|D|)}{|D|}} \partial_x \zeta + \zeta \partial_x \zeta = 0 \quad (\text{Whitham})$$

- ② To produce approximate solutions (e.g. numerical)

- $\mathcal{O}(\mu)$  :  $\partial_t \zeta + \sqrt{gd} (\partial_x \zeta + \frac{3}{2d} \zeta \partial_x \zeta) = 0$  (Hopf)
- $\mathcal{O}(\mu^2 + \mu\epsilon)$ :  $\partial_t \zeta + \sqrt{gd} (\partial_x \zeta + \frac{3}{2d} \zeta \partial_x \zeta + d^2 \partial_x^3 \zeta) = 0$  (KdV)
- $\mathcal{O}(\mu\epsilon)$  :  $\partial_t \zeta + \sqrt{gd} \left( \sqrt{\frac{\tanh(d|D|)}{d|D|}} \partial_x \zeta + \frac{3}{2d} \zeta \partial_x \zeta \right) = 0$  (Whitham)

- 
- 
- 

- ③ To publish papers. To have fun.

## Why “water waves”?



Figure: Water waves, by Anouk and Lucie Duchêne

[Feynman] “[water waves] that are easily seen by everyone and which are usually used as an example of waves in elementary courses [...] are the worst possible example [...]; they have all the complications that waves can have.”

Standard models include: Saint-Venant, Boussinesq, Serre–Green–Naghdi, Matsuno, Korteweg–de Vries, Benjamin–Bona–Mahony, Camassa–Holm, Kawahara, Whitham, Kadomtsev–Petviashvili, Dysthe, Benney–Roskes, NLS...

## Why “water waves”?



Figure: Water waves, by Anouk and Lucie Duchêne

[Feynman] “[water waves] that are easily seen by everyone and which are usually used as an example of waves in elementary courses [...] are the worst possible example [...]; they have all the complications that waves can have.”

Standard models include: Saint-Venant, Boussinesq, Serre–Green–Naghdi, Matsuno, Korteweg–de Vries, Benjamin–Bona–Mahony, Camassa–Holm, Kawahara, Whitham, Kadomtsev–Petviashvili, Dysthe, Benney–Roskes, NLS...

## Why “water waves”?



Figure: Water waves, by Anouk and Lucie Duchêne

[Feynman] “[water waves] that are easily seen by everyone and which are usually used as an example of waves in elementary courses [...] are the worst possible example [...]; they have all the complications that waves can have.”

Standard models include: Saint-Venant, Boussinesq, Serre–Green–Naghdi, Matsuno, Korteweg–de Vries, Benjamin–Bona–Mahony, Camassa–Holm, Kawahara, Whitham, Kadomtsev–Petviashvili, Dysthe, Benney–Roskes, NLS...

## Why “the art”?

There will be traps. Avoiding them will have a cost.

We will make choices, with benefits and downsides.

A useful tool: theorems.

# Why “the art”?

There will be traps. Avoiding them will have a cost.

We will make choices, with benefits and downsides.

A useful tool: theorems.

## Table of contents

- 1 About the title
- 2 Water waves and ripples
- 3 Shallow water models
  - Derivation
  - Justification
  - Numerical simulation
- 4 Higher order models
  - A unified framework
  - Interfacial waves

# All you need to know about the water waves system (today)

Warning: the following applies only to inviscid, incompressible, homogeneous, irrotational flows. Serving suggestion.

Zakharov/Craig-Sulem formulation [Zakharov '68, Craig&Sulem '93]

$$\begin{cases} \partial_t \zeta - \frac{\delta \mathcal{H}}{\delta \psi} = 0, \\ \partial_t \psi + \frac{\delta \mathcal{H}}{\delta \zeta} = 0, \end{cases} \quad (\text{WW})$$

with

$$\mathcal{H}(\zeta, \psi) \stackrel{\text{def}}{=} \frac{1}{2} \int_{\mathbb{R}^d} \zeta^2 + \psi \mathcal{G}^\mu[\epsilon \zeta] \psi \, dx$$

where the **Dirichlet-to-Neumann operator**  $\mathcal{G}^\mu[\epsilon \zeta] \psi$  is defined by

$$\mathcal{G}^\mu[\epsilon \zeta] \psi = \left( \frac{1}{\mu} \partial_z \Phi - \epsilon \nabla \zeta \cdot \nabla_{\mathbf{x}} \Phi \right) \Big|_{z=\epsilon \zeta}$$

with  $\Phi$  solution to

$$\begin{cases} \mu \Delta_{\mathbf{x}} \Phi + \partial_z^2 \Phi = 0 & \text{in } \{(\mathbf{x}, z), -1 < z < \epsilon \zeta(t, \mathbf{x})\}, \\ \Phi = \psi & \text{on } \{(\mathbf{x}, z), z = \epsilon \zeta(t, \mathbf{x})\}, \\ \partial_z \Phi = 0 & \text{on } \{(\mathbf{x}, z), z = -1\}. \end{cases}$$

# All you need to know about the water waves system (today)

Zakharov/Craig-Sulem formulation [Zakharov '68, Craig&Sulem '93]

$$\begin{cases} \partial_t \zeta - \frac{\delta \mathcal{H}}{\delta \psi} = 0, \\ \partial_t \psi + \frac{\delta \mathcal{H}}{\delta \zeta} = 0, \end{cases} \quad (\text{WW})$$

with

$$\mathcal{H}(\zeta, \psi) \stackrel{\text{def}}{=} \frac{1}{2} \int_{\mathbb{R}^d} \zeta^2 + \psi \mathcal{G}^\mu[\epsilon \zeta] \psi \, dx$$

where the **Dirichlet-to-Neumann operator**  $\mathcal{G}^\mu[\epsilon \zeta] \psi$  is defined by

$$\mathcal{G}^\mu[\epsilon \zeta] \psi = \left( \frac{1}{\mu} \partial_z \Phi - \epsilon \nabla \zeta \cdot \nabla_{\mathbf{x}} \Phi \right) \Big|_{z=\epsilon \zeta}$$

with  $\Phi$  solution to

$$\begin{cases} \mu \Delta_{\mathbf{x}} \Phi + \partial_z^2 \Phi = 0 & \text{in } \{(\mathbf{x}, z), -1 < z < \epsilon \zeta(t, \mathbf{x})\}, \\ \Phi = \psi & \text{on } \{(\mathbf{x}, z), z = \epsilon \zeta(t, \mathbf{x})\}, \\ \partial_z \Phi = 0 & \text{on } \{(\mathbf{x}, z), z = -1\}. \end{cases}$$

Water waves = ( Hyperbolic ) × ( Elliptic ).

# Our journey starts with ripples

We set  $\epsilon = 0$

Zakharov/Craig-Sulem formulation [Zakharov '68, Craig&Sulem '93]

$$\begin{cases} \partial_t \zeta - \frac{\delta \mathcal{H}}{\delta \psi} = 0, \\ \partial_t \psi + \frac{\delta \mathcal{H}}{\delta \zeta} = 0, \end{cases} \quad (\text{WW})$$

with

$$\mathcal{H}(\zeta, \psi) \stackrel{\text{def}}{=} \frac{1}{2} \int_{\mathbb{R}^d} \zeta^2 + \psi \mathcal{G}^\mu[\epsilon \zeta] \psi \, dx$$

where the **Dirichlet-to-Neumann operator**  $\mathcal{G}^\mu[\epsilon \zeta]$  is defined by

$$\mathcal{G}^\mu[\epsilon \zeta] \psi = \left( \frac{1}{\mu} \partial_z \Phi - \epsilon \nabla \zeta \cdot \nabla_{\mathbf{x}} \Phi \right) \Big|_{z=\epsilon \zeta}$$

with  $\Phi$  solution to

$$\begin{cases} \mu \Delta_{\mathbf{x}} \Phi + \partial_z^2 \Phi = 0 & \text{in } \{(\mathbf{x}, z), -1 < z < \epsilon \zeta(t, \mathbf{x})\}, \\ \Phi = \psi & \text{on } \{(\mathbf{x}, z), z = \epsilon \zeta(t, \mathbf{x})\}, \\ \partial_z \Phi = 0 & \text{on } \{(\mathbf{x}, z), z = -1\}. \end{cases}$$

# Our journey starts with ripples

We set  $\epsilon = 0$

Zakharov/Craig-Sulem formulation [Zakharov '68, Craig&Sulem '93]

$$\begin{cases} \partial_t \zeta - \frac{\delta \mathcal{H}}{\delta \psi} = 0, \\ \partial_t \psi + \frac{\delta \mathcal{H}}{\delta \zeta} = 0, \end{cases} \quad (\text{WW})$$

with

$$\mathcal{H}(\zeta, \psi) \stackrel{\text{def}}{=} \frac{1}{2} \int_{\mathbb{R}^d} \zeta^2 + \psi \mathcal{G}^\mu[0] \psi \, dx$$

where the **Dirichlet-to-Neumann operator**  $\mathcal{G}^\mu[0]$  is defined by

$$\mathcal{G}^\mu[0] \psi = \left( \frac{1}{\mu} \partial_z \Phi \right) \Big|_{z=0} = \frac{1}{\sqrt{\mu}} |D| \tanh(\sqrt{\mu} |D|) \psi$$

with  $\Phi$  solution to

$$\begin{cases} \mu \Delta_x \Phi + \partial_z^2 \Phi = 0 & \text{in } \{(x, z), -1 < z < 0\}, \\ \Phi = \psi & \text{on } \{(x, z), z = 0\}, \\ \partial_z \Phi = 0 & \text{on } \{(x, z), z = -1\}. \end{cases}$$

# Our journey starts with ripples

We set  $\epsilon = 0$

Zakharov/Craig-Sulem formulation [Zakharov '68, Craig&Sulem '93]

$$\begin{cases} \partial_t \zeta - \frac{1}{\sqrt{\mu}} |D| \tanh(\sqrt{\mu} |D|) \psi = 0, \\ \partial_t \psi + \zeta = 0, \end{cases} \quad (\text{Airy})$$

with

$$\mathcal{H}(\zeta, \psi) \stackrel{\text{def}}{=} \frac{1}{2} \int_{\mathbb{R}^d} \zeta^2 + \frac{1}{\sqrt{\mu}} \psi |D| \tanh(\sqrt{\mu} |D|) \psi \, dx$$

where the **Dirichlet-to-Neumann operator**  $\mathcal{G}^\mu[0]$  is defined by

$$\mathcal{G}^\mu[0] \psi = \left( \frac{1}{\mu} \partial_z \Phi \right) |_{z=0} = \frac{1}{\sqrt{\mu}} |D| \tanh(\sqrt{\mu} |D|) \psi$$

with  $\Phi$  solution to

$$\begin{cases} \mu \Delta_x \Phi + \partial_z^2 \Phi = 0 & \text{in } \{(x, z), -1 < z < 0\}, \\ \Phi = \psi & \text{on } \{(x, z), z = 0\}, \\ \partial_z \Phi = 0 & \text{on } \{(x, z), z = -1\}. \end{cases}$$

# Lessons from the modal analysis

$$\begin{cases} \partial_t \zeta - \frac{1}{\sqrt{\mu}} |D| \tanh(\sqrt{\mu} |D|) \psi = 0, \\ \partial_t \psi + \zeta = 0. \end{cases} \quad (\text{Airy})$$

Dispersion relation (for plane waves  $\propto e^{i\mathbf{k}\cdot\mathbf{x} - i\omega t}$ )

$$c^2 \stackrel{\text{def}}{=} \frac{\omega^2}{|\mathbf{k}|^2} = \frac{\tanh(\sqrt{\mu} |\mathbf{k}|)}{\sqrt{\mu} |\mathbf{k}|}.$$

Some approximations (valid when  $\sqrt{\mu} |\mathbf{k}| \ll 1$ )

- ①  $c^2 = 1$  ✓ Non-dispersive. Relative error less than 10% for  $\sqrt{\mu} |\mathbf{k}| < 0.055$ .
- ②  $c^2 = 1 - \frac{\mu}{3} |\mathbf{k}|^2$  ✗ Unstable modes for  $\sqrt{\mu} |\mathbf{k}| > \sqrt{3}$ .
- ③  $c^2 = \frac{1}{1 + \frac{\mu}{3} |\mathbf{k}|^2}$  ✓ Relative error less than 10% for  $\sqrt{\mu} |\mathbf{k}| < 1.914$ .
- ④  $c^2 = 1 - \frac{\mu}{3} |\mathbf{k}|^2 + \frac{2\mu^2}{15} |\mathbf{k}|^4 + \dots$  ✗ Series do not converge for  $\sqrt{\mu} |\mathbf{k}| > \frac{\pi}{2}$ .
- ⑤  $c^2 = \frac{1}{1 + \frac{\mu}{3} |\mathbf{k}|^2 - \frac{\mu^2}{45} |\mathbf{k}|^4 + \dots}$  ✗ Series do not converge for  $\sqrt{\mu} |\mathbf{k}| > \pi$ .
- ⑥  $c^2 = \frac{1}{1 + \frac{\mu |\mathbf{k}|^2}{3 + \frac{\mu |\mathbf{k}|^2}{5 + \frac{\mu |\mathbf{k}|^2}{\dots}}}}$  ✓ Padé approximant. Uniformly convergent.

1 About the title

2 Water waves and ripples

**3 Shallow water models**

- Derivation
- Justification
- Numerical simulation

4 Higher order models

- A unified framework
- Interfacial waves

## Switching back on the nonlinearity

Recall the **Dirichlet-to-Neumann operator**  $\mathcal{G}^\mu[\epsilon\zeta]\psi$  is defined by

$$\mathcal{G}^\mu[\epsilon\zeta]\psi = \left(\frac{1}{\mu}\partial_z\Phi - \epsilon\nabla\zeta \cdot \nabla_{\mathbf{x}}\Phi\right)|_{z=\epsilon\zeta}$$

with  $\Phi$  solution to

$$\begin{cases} \mu\Delta_{\mathbf{x}}\Phi + \partial_z^2\Phi = 0 & \text{in } \{(\mathbf{x}, z), -1 < z < \epsilon\zeta(t, \mathbf{x})\}, \\ \Phi = \psi & \text{on } \{(\mathbf{x}, z), z = \epsilon\zeta(t, \mathbf{x})\}, \\ \partial_z\Phi = 0 & \text{on } \{(\mathbf{x}, z), z = -1\}. \end{cases}$$

An equivalent formulation is

$$\mathcal{G}^\mu[\epsilon\zeta]\psi = -\nabla \cdot \left( \int_{-1}^{\epsilon\zeta(t, \cdot)} \nabla_{\mathbf{x}}\Phi(\cdot, z) dz \right)$$

with  $\Phi$  solution to

$$\Phi + \mu\ell[\epsilon\zeta]\Phi = \psi, \quad \ell[\epsilon\zeta]\Phi(\cdot, z) \stackrel{\text{def}}{=} - \int_z^{\epsilon\zeta} \int_{-1}^{z'} \Delta_{\mathbf{x}}\Phi(\cdot, z'') dz'' dz'.$$

We infer approximate formula at any order  $\mathcal{O}(\mu^N)$ :

$$\Phi = \psi + \mathcal{O}(\mu), \quad \Phi = \psi - \mu\ell[\epsilon\zeta]\psi + \mathcal{O}(\mu^2), \quad \dots$$

## Switching back on the nonlinearity

Recall the **Dirichlet-to-Neumann operator**  $\mathcal{G}^\mu[\epsilon\zeta]\psi$  is defined by

$$\mathcal{G}^\mu[\epsilon\zeta]\psi = \left(\frac{1}{\mu}\partial_z\Phi - \epsilon\nabla\zeta \cdot \nabla_{\mathbf{x}}\Phi\right)|_{z=\epsilon\zeta}$$

with  $\Phi$  solution to

$$\begin{cases} \mu\Delta_{\mathbf{x}}\Phi + \partial_z^2\Phi = 0 & \text{in } \{(\mathbf{x}, z), -1 < z < \epsilon\zeta(t, \mathbf{x})\}, \\ \Phi = \psi & \text{on } \{(\mathbf{x}, z), z = \epsilon\zeta(t, \mathbf{x})\}, \\ \partial_z\Phi = 0 & \text{on } \{(\mathbf{x}, z), z = -1\}. \end{cases}$$

An equivalent formulation is

$$\mathcal{G}^\mu[\epsilon\zeta]\psi = -\nabla \cdot \left( \int_{-1}^{\epsilon\zeta(t, \cdot)} \nabla_{\mathbf{x}}\Phi(\cdot, z) dz \right)$$

with  $\Phi$  solution to

$$\Phi + \mu\ell[\epsilon\zeta]\Phi = \psi, \quad \ell[\epsilon\zeta]\Phi(\cdot, z) \stackrel{\text{def}}{=} - \int_z^{\epsilon\zeta} \int_{-1}^{z'} \Delta_{\mathbf{x}}\Phi(\cdot, z'') dz'' dz'.$$

We infer approximate formula at any order  $\mathcal{O}(\mu^N)$ :

$$\Phi = \psi + \mathcal{O}(\mu), \quad \Phi = \psi - \mu\ell[\epsilon\zeta]\psi + \mathcal{O}(\mu^2), \quad \dots$$

## Switching back on the nonlinearity

Recall the **Dirichlet-to-Neumann operator**  $\mathcal{G}^\mu[\epsilon\zeta]\psi$  is defined by

$$\mathcal{G}^\mu[\epsilon\zeta]\psi = \left(\frac{1}{\mu}\partial_z\Phi - \epsilon\nabla\zeta \cdot \nabla_{\mathbf{x}}\Phi\right)|_{z=\epsilon\zeta}$$

with  $\Phi$  solution to

$$\begin{cases} \mu\Delta_{\mathbf{x}}\Phi + \partial_z^2\Phi = 0 & \text{in } \{(\mathbf{x}, z), -1 < z < \epsilon\zeta(t, \mathbf{x})\}, \\ \Phi = \psi & \text{on } \{(\mathbf{x}, z), z = \epsilon\zeta(t, \mathbf{x})\}, \\ \partial_z\Phi = 0 & \text{on } \{(\mathbf{x}, z), z = -1\}. \end{cases}$$

An equivalent formulation is

$$\mathcal{G}^\mu[\epsilon\zeta]\psi = -\nabla \cdot \left( \int_{-1}^{\epsilon\zeta(t, \cdot)} \nabla_{\mathbf{x}}\Phi(\cdot, z) dz \right)$$

with  $\Phi$  solution to

$$\Phi + \mu\ell[\epsilon\zeta]\Phi = \psi, \quad \ell[\epsilon\zeta]\Phi(\cdot, z) \stackrel{\text{def}}{=} - \int_z^{\epsilon\zeta} \int_{-1}^{z'} \Delta_{\mathbf{x}}\Phi(\cdot, z'') dz'' dz'.$$

We infer approximate formula at any order  $\mathcal{O}(\mu^N)$ :

$$\Phi = \psi + \mathcal{O}(\mu), \quad \Phi = \psi - \mu\ell[\epsilon\zeta]\psi + \mathcal{O}(\mu^2), \quad \dots$$

## Switching back on the nonlinearity

An equivalent formulation is

$$\mathcal{G}^\mu[\epsilon\zeta]\psi = -\nabla \cdot \left( \int_{-1}^{\epsilon\zeta(t,\cdot)} \nabla_{\mathbf{x}} \Phi(\cdot, z) dz \right)$$

with  $\Phi$  solution to

$$\Phi + \mu \ell[\epsilon\zeta]\Phi = \psi, \quad \ell[\epsilon\zeta]\Phi(\cdot, z) \stackrel{\text{def}}{=} - \int_z^{\epsilon\zeta} \int_{-1}^{z'} \Delta_{\mathbf{x}} \Phi(\cdot, z'') dz'' dz'.$$

We infer approximate formula at any order  $\mathcal{O}(\mu^N)$ :

$$\Phi = \psi + \mathcal{O}(\mu), \quad \Phi = \psi - \mu \ell[\epsilon\zeta]\psi + \mathcal{O}(\mu^2), \quad \dots$$

This yields approximations to the Dirichlet-to-Neumann operator:

✓  $\mathcal{G}^\mu[\epsilon\zeta]\psi = -\nabla \cdot ((1 + \epsilon\zeta)\nabla\psi) + \mathcal{O}(\mu),$

✗  $\mathcal{G}^\mu[\epsilon\zeta]\psi = -\nabla \cdot (h\nabla\psi) + \mu \nabla \cdot (h\mathcal{T}[h]\nabla\psi) + \mathcal{O}(\mu^2),$

✓  $\mathcal{G}^\mu[\epsilon\zeta]\psi = -\nabla \cdot \left( h(\text{Id} + \mu\mathcal{T}[h])^{-1} \nabla\psi \right) + \mathcal{O}(\mu^2),$

with  $h = 1 + \epsilon\zeta$  and  $\mathcal{T}[h]\mathbf{u} = \frac{-1}{3h} \nabla(h^3 \nabla \cdot \mathbf{u})$ .

# Approximations to the Dirichlet-to-Neumann operator

[Lannes]

For any sufficiently regular  $\zeta$  such that

$$\forall \mathbf{x} \in \mathbb{R}^d, \quad h(\mathbf{x}) \stackrel{\text{def}}{=} 1 + \epsilon \zeta(\mathbf{x}) \geq h_* > 0,$$

one has for any  $k \in \mathbb{N}$ ,  $\epsilon \geq 0$  and  $\mu \in (0, 1]$ ,

$$\checkmark \quad |\mathcal{G}^\mu[\epsilon \zeta] \psi + \nabla \cdot ((1 + \epsilon \zeta) \nabla \psi)|_{H^k} \leq C_{k+4} \mu |\nabla \psi|_{H^{k+3}},$$

$$\times \quad |\mathcal{G}^\mu[\epsilon \zeta] \psi + \nabla \cdot (h \nabla \psi) - \mu \nabla \cdot (h \mathcal{T}[h] \nabla \psi)|_{H^k} \leq C_{k+6} \mu^2 |\nabla \psi|_{H^{k+5}},$$

$$\checkmark \quad |\mathcal{G}^\mu[\epsilon \zeta] \psi + \nabla \cdot (h (\text{Id} + \mu \mathcal{T}[h])^{-1} \nabla \psi)|_{H^k} \leq C_{k+6} \mu^2 |\nabla \psi|_{H^{k+5}},$$

with  $C_k = C(k, h_*^{-1}, |\epsilon \zeta|_{H^n})$  and  $\mathcal{T}[h] \mathbf{u} \stackrel{\text{def}}{=} \frac{1}{3h} \nabla (h^3 \nabla \cdot \mathbf{u})$ .

Plugging these approximations in the water waves equations yields...

# Historical shallow-water models

## The Saint-Venant system

$$\begin{cases} \partial_t \zeta + \nabla \cdot ((1 + \epsilon \zeta) \mathbf{u}) = 0, \\ \partial_t \mathbf{u} + \nabla \zeta + \epsilon (\mathbf{u} \cdot \nabla) \mathbf{u} = \mathbf{0}, \end{cases} \quad (\text{SV})$$

with  $\mathbf{u} = \nabla \psi$  (or  $\mathbf{u} = \bar{\mathbf{u}} \stackrel{\text{def}}{=} \frac{1}{1 + \epsilon \zeta} \int_{-1}^{\epsilon \zeta} \nabla_{\mathbf{x}} \Phi(\cdot, z) dz$ ).

## The Green–Naghdi system

$$\begin{cases} \partial_t \zeta + \nabla \cdot (h \mathbf{u}) = 0, \\ (\text{Id} + \mu \mathcal{T}[h]) \partial_t \mathbf{u} + \nabla \zeta + \epsilon (\mathbf{u} \cdot \nabla) \mathbf{u} + \mu \epsilon \mathcal{Q}[h, \mathbf{u}] = \mathbf{0}, \end{cases} \quad (\text{GN})$$

where  $h \stackrel{\text{def}}{=} 1 + \epsilon \zeta$ ,  $\mathcal{Q}[h, \mathbf{u}] \stackrel{\text{def}}{=} \frac{-1}{3h} \nabla \left( h^3 ((\mathbf{u} \cdot \nabla)(\nabla \cdot \mathbf{u}) - (\nabla \cdot \mathbf{u})^2) \right)$ , and

$\mathcal{T}[h] \mathbf{u} \stackrel{\text{def}}{=} \frac{-1}{3h} \nabla (h^3 \nabla \cdot \mathbf{u})$  with  $\mathbf{u} = (\text{Id} + \mu \mathcal{T}[h])^{-1} \nabla \psi$  (or  $\mathbf{u} = \bar{\mathbf{u}}$ ).

# Historical shallow-water models

## The Saint-Venant system

$$\begin{cases} \partial_t \zeta + \nabla \cdot ((1 + \epsilon \zeta) \mathbf{u}) = 0, \\ \partial_t \mathbf{u} + \nabla \zeta + \epsilon (\mathbf{u} \cdot \nabla) \mathbf{u} = \mathbf{0}, \end{cases} \quad (\text{SV})$$

with  $\mathbf{u} = \nabla \psi$  (or  $\mathbf{u} = \bar{\mathbf{u}} \stackrel{\text{def}}{=} \frac{1}{1 + \epsilon \zeta} \int_{-1}^{\epsilon \zeta} \nabla_{\mathbf{x}} \Phi(\cdot, z) dz$ ).

A special case of *compressible* Euler equations. Finite-time singularity formation. Used when the problem features dry zones, discontinuities (dam-break), *etc.*

## The Green–Naghdi system

$$\begin{cases} \partial_t \zeta + \nabla \cdot (h \mathbf{u}) = 0, \\ (\text{Id} + \mu \mathcal{T}[h]) \partial_t \mathbf{u} + \nabla \zeta + \epsilon (\mathbf{u} \cdot \nabla) \mathbf{u} + \mu \epsilon \mathcal{Q}[h, \mathbf{u}] = \mathbf{0}, \end{cases} \quad (\text{GN})$$

where  $h \stackrel{\text{def}}{=} 1 + \epsilon \zeta$ ,  $\mathcal{Q}[h, \mathbf{u}] \stackrel{\text{def}}{=} \frac{-1}{3h} \nabla \left( h^3 ((\mathbf{u} \cdot \nabla)(\nabla \cdot \mathbf{u}) - (\nabla \cdot \mathbf{u})^2) \right)$ , and

$\mathcal{T}[h] \mathbf{u} \stackrel{\text{def}}{=} \frac{-1}{3h} \nabla (h^3 \nabla \cdot \mathbf{u})$  with  $\mathbf{u} = (\text{Id} + \mu \mathcal{T}[h])^{-1} \nabla \psi$  (or  $\mathbf{u} = \bar{\mathbf{u}}$ ).

Explicit family of solitary waves. Globally well-posed?

A *lot* of activity around (GN) recently.

# Fully rigorous justification

The full justification of a model typically stems from the combination of

① Consistency

Regular solutions to the water waves system satisfy approximately the model

② Well-posedness

Existence and control of solutions on a relevant time interval

③ Stability

Control of the difference between exact and approximate solutions of the model

↪ Control of  $\epsilon$ , the difference between the solution to the water waves system and the corresponding solution to the model.

The **Saint-Venant system** is a quasilinear hyperbolic symmetrizable system.

↪ [Friedrichs, Garding, Kato '50s] WP and Stability in  $H^s(\mathbb{R}^d)^{1+d}$ ,  $s > 1 + d/2$

$$|\epsilon_{\text{SV}}|_{H^k} \lesssim \mu t, \quad t \lesssim 1/\epsilon.$$

## Fully rigorous justification

The full justification of a model typically stems from the combination of

① Consistency

Regular solutions to the water waves system satisfy approximately the model

② Well-posedness

Existence and control of solutions on a relevant time interval

③ Stability

Control of the difference between exact and approximate solutions of the model

↪ Control of  $\epsilon$ , the difference between the solution to the water waves system and the corresponding solution to the model.

**The Saint-Venant system** is a quasilinear hyperbolic symmetrizable system.

↪ [Friedrichs, Garding, Kato '50s] WP and Stability in  $H^s(\mathbb{R}^d)^{1+d}$ ,  $s > 1 + d/2$

$$|\epsilon_{\text{SV}}|_{H^k} \lesssim \mu t, \quad t \lesssim 1/\epsilon.$$

## Fully rigorous justification

The full justification of a model typically stems from the combination of

① Consistency

Regular solutions to the water waves system satisfy approximately the model

② Well-posedness

Existence and control of solutions on a relevant time interval

③ Stability

Control of the difference between exact and approximate solutions of the model

↪ Control of  $\epsilon$ , the difference between the solution to the water waves system and the corresponding solution to the model.

**The Green–Naghdi system** is a “quasilinear hyperbolic symmetrizable system”.

↪ [Li '06, Fujiwara&Iguchi '15] WP and Stability in  $H^s(\mathbb{R}^d) \times X^s$ ,  $s > 1 + d/2$

$$X^s \stackrel{\text{def}}{=} \{ \mathbf{u} : |\mathbf{u}|_{X^s}^2 \stackrel{\text{def}}{=} |\mathbf{u}|_{H^s}^2 + \mu |\nabla \cdot \mathbf{u}|_{H^s}^2 < \infty \}.$$

$$|\epsilon_{\text{GN}}|_{H^k \times X^k} \lesssim \mu^2 t, \quad t \lesssim 1/\epsilon.$$

# Hyperbolic reformulation

Recall **The Green–Naghdi system**

$$\begin{cases} \partial_t \zeta + \nabla \cdot (h\mathbf{u}) = 0, \\ (\text{Id} + \mu \mathcal{T}[h]) \partial_t \mathbf{u} + \nabla \zeta + \epsilon (\mathbf{u} \cdot \nabla) \mathbf{u} + \mu \epsilon \mathcal{Q}[h, \mathbf{u}] = \mathbf{0}, \end{cases} \quad (\text{GN})$$

where  $h \stackrel{\text{def}}{=} 1 + \epsilon \zeta$ ,  $\mathcal{T}[h]\mathbf{u} = \frac{-1}{3h} \nabla (h^3 \nabla \cdot \mathbf{u})$  and  
 $\mathcal{Q}[h, \mathbf{u}] \stackrel{\text{def}}{=} \frac{-1}{3h} \nabla \left( h^3 ((\mathbf{u} \cdot \nabla)(\nabla \cdot \mathbf{u}) - (\nabla \cdot \mathbf{u})^2) \right)$ .

In numerical simulations, we need to solve at each timestep (for  $\mathbf{u}$ )

$$(\text{Id} + \mu \mathcal{T}[h]) \mathbf{u} = \mathbf{v}.$$

The Green–Naghdi system can be written as

$$\begin{cases} \partial_t \zeta + \nabla \cdot (h\mathbf{u}) = 0, \\ \partial_t \mathbf{u} + \nabla \zeta + \epsilon (\mathbf{u} \cdot \nabla) \mathbf{u} + \frac{\mu}{3h} \nabla (h\mathbf{q}) = \mathbf{0}, \\ \frac{\mathbf{q}}{h} = \partial_t \mathbf{v} + \epsilon \mathbf{u} \cdot \nabla \mathbf{v}, \quad \mathbf{v} = \partial_t \zeta + \epsilon \mathbf{u} \cdot \nabla \zeta = -h \nabla \cdot \mathbf{u} \end{cases} \quad (\text{GN})$$

3 evolution equations + constraint.  $\rightsquigarrow$  *relaxation methods*.

# Hyperbolic reformulation

Recall **The Green–Naghdi system**

$$\begin{cases} \partial_t \zeta + \nabla \cdot (h\mathbf{u}) = 0, \\ (\text{Id} + \mu \mathcal{T}[h]) \partial_t \mathbf{u} + \nabla \zeta + \epsilon (\mathbf{u} \cdot \nabla) \mathbf{u} + \mu \epsilon \mathcal{Q}[h, \mathbf{u}] = \mathbf{0}, \end{cases} \quad (\text{GN})$$

where  $h \stackrel{\text{def}}{=} 1 + \epsilon \zeta$ ,  $\mathcal{T}[h]\mathbf{u} = \frac{-1}{3h} \nabla (h^3 \nabla \cdot \mathbf{u})$  and  
 $\mathcal{Q}[h, \mathbf{u}] \stackrel{\text{def}}{=} \frac{-1}{3h} \nabla \left( h^3 ((\mathbf{u} \cdot \nabla)(\nabla \cdot \mathbf{u}) - (\nabla \cdot \mathbf{u})^2) \right)$ .

In numerical simulations, we need to solve at each timestep (for  $\mathbf{u}$ )

$$(\text{Id} + \mu \mathcal{T}[h]) \mathbf{u} = \mathbf{v}.$$

The Green–Naghdi system can be written as

$$\begin{cases} \partial_t \zeta + \nabla \cdot (h\mathbf{u}) = 0, \\ \partial_t \mathbf{u} + \nabla \zeta + \epsilon (\mathbf{u} \cdot \nabla) \mathbf{u} + \frac{\mu}{3h} \nabla (h\mathbf{q}) = \mathbf{0}, \\ \frac{\mathbf{q}}{h} = \partial_t \mathbf{v} + \epsilon \mathbf{u} \cdot \nabla \mathbf{v}, \quad \mathbf{v} = \partial_t \zeta + \epsilon \mathbf{u} \cdot \nabla \zeta = -h \nabla \cdot \mathbf{u} \end{cases} \quad (\text{GN})$$

3 evolution equations + constraint.  $\rightsquigarrow$  *relaxation methods*.

# Hyperbolic reformulation

The Green–Naghdi system can be written as

$$\begin{cases} \partial_t \zeta + \nabla \cdot (h\mathbf{u}) = 0, \\ \partial_t \mathbf{u} + \nabla \zeta + \epsilon(\mathbf{u} \cdot \nabla)\mathbf{u} + \frac{\mu}{3h} \nabla(hq) = \mathbf{0}, \\ \frac{q}{h} = \partial_t v + \epsilon \mathbf{u} \cdot \nabla v, \quad v = \partial_t \zeta + \epsilon \mathbf{u} \cdot \nabla \zeta = -h \nabla \cdot \mathbf{u} \end{cases} \quad (\text{GN})$$

3 evolution equations + constraint.  $\rightsquigarrow$  *relaxation methods*.

[Favrie&Gavrilyuk '17] proposed

$$\begin{cases} \partial_t \zeta + \nabla \cdot ((1 + \epsilon \zeta)\mathbf{u}) = 0, \\ \partial_t \mathbf{u} + \nabla \zeta + \epsilon(\mathbf{u} \cdot \nabla)\mathbf{u} - \frac{\lambda \mu}{3h} \nabla \left( \frac{1 + \epsilon \eta}{1 + \epsilon \zeta} (\eta - \zeta) \right) = \mathbf{0}, \\ \partial_t w + \epsilon \mathbf{u} \cdot \nabla w = -\frac{\lambda}{h^2} (\eta - \zeta), \\ \partial_t \eta + \epsilon \mathbf{u} \cdot \nabla \eta = w. \end{cases} \quad (\text{FG})$$

Quasilinear system of balance laws, *singular limit*  $\lambda \gg 1$  and  $\mu \ll 1$ .

[VD '19]: rigorous justification for well-prepared initial data and  $\lambda \gtrsim \mu^{-1}$ .

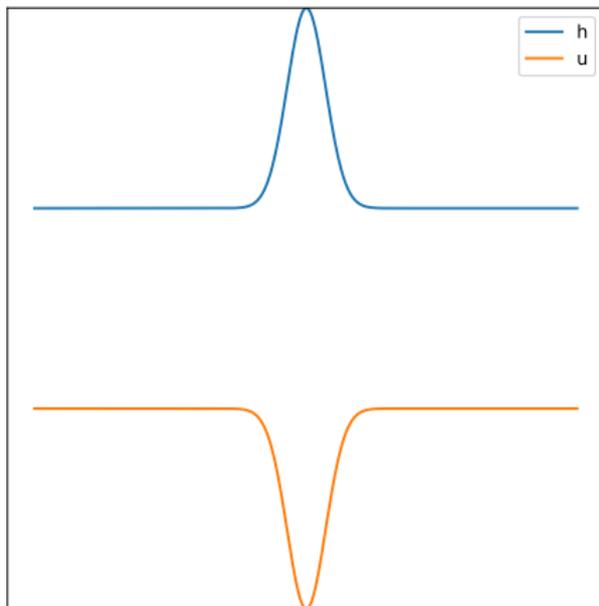
$$\left| \mathbf{e}_{\text{FG}} \right|_{H^k \times X^k} \lesssim (\mu^2 + \mu \lambda^{-1}) t, \quad t \lesssim 1/\epsilon.$$

# Toy models

## Order 1

$$\begin{cases} \partial_t h = 0, \\ \partial_t u + \frac{1}{\epsilon} h \partial_x u = 0 \end{cases}$$

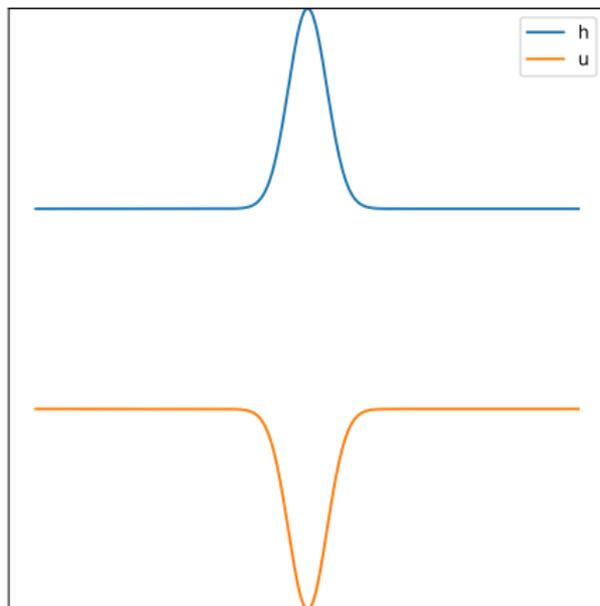
▶ Animation



## Order 0

$$\begin{cases} \partial_t h = 0, \\ \partial_t u + \frac{i}{\epsilon} h u = 0 \end{cases}$$

▶ Animation



# Water Waves



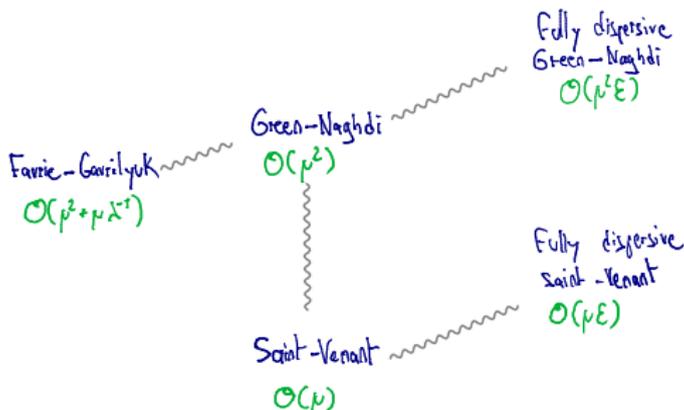
- 1 About the title
- 2 Water waves and ripples
- 3 Shallow water models

- Derivation
- Justification
- Numerical simulation

## 4 Higher order models

- A unified framework
- Interfacial waves

## High order models



# Water Waves



- 1 About the title
- 2 Water waves and ripples
- 3 Shallow water models

- Derivation
- Justification
- Numerical simulation

## 4 Higher order models

- A unified framework
- Interfacial waves

"exact" approach  
[Athanasoulis & Baltassakis '83]

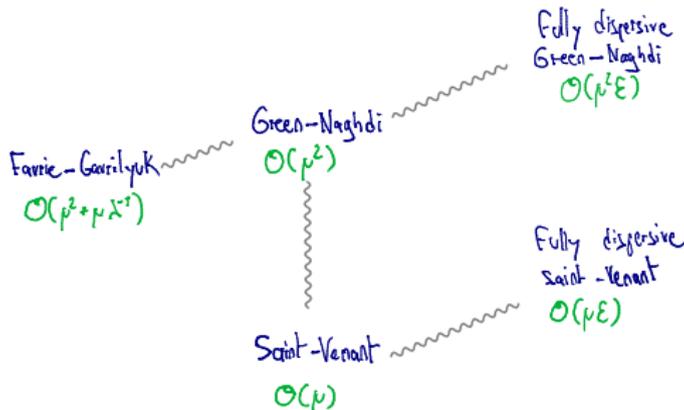
Lagrangian approach  
[Isobe '84]

variational approach  
[Hirayama, van Groenou & Dingemans '90]

Spectral methods  
[Domenegoni & Jona '84]  
[Forest et al. '87]  
[Craig & Sulem '83]

extended  
Green-Naghdi  
[Mitsuo '85, '86]

"multilayer" approach  
[Casulli & Stelling '86]  
[Lyraoff & Liv '04]  
[Aubache et al. '01]



## A first method

Recall we (and [Lagrange,Boussinesq,Rayleigh]) had an expansion of the Dirichlet-to-Neumann operator

$$\mathcal{G}^\mu[\epsilon\zeta]\psi = -\mu\nabla \cdot \left( \int_{-1}^{\epsilon\zeta(t,\cdot)} \nabla_{\mathbf{x}}\Phi(\cdot, z) dz \right)$$

with  $\Phi$  solution to

$$\Phi + \mu l[\epsilon\zeta]\Phi = \psi, \quad l[\epsilon\zeta]\Phi(\cdot, z) \stackrel{\text{def}}{=} - \int_z^{\epsilon\zeta} \int_{-1}^{z'} \Delta_{\mathbf{x}}\Phi(\cdot, z'') dz'' dz'.$$

$$\Phi = \sum_{k=0}^N (-\mu l[\epsilon\zeta])^k \psi + \mathcal{O}(\mu^{N+1}).$$

This yields to extended Green–Naghdi systems [Matsuno '15,'16].

✗ The loss of derivatives is  $2N + p$  for some  $p$ . No hope of convergence when  $N \rightarrow \infty$ , by the modal analysis.

## A first method

Recall we (and [Lagrange,Boussinesq,Rayleigh]) had an expansion of the Dirichlet-to-Neumann operator

$$\mathcal{G}^\mu[\epsilon\zeta]\psi = -\mu\nabla \cdot \left( \int_{-1}^{\epsilon\zeta(t,\cdot)} \nabla_{\mathbf{x}}\Phi(\cdot, z) dz \right)$$

with  $\Phi$  solution to

$$\Phi + \mu l[\epsilon\zeta]\Phi = \psi, \quad l[\epsilon\zeta]\Phi(\cdot, z) \stackrel{\text{def}}{=} - \int_z^{\epsilon\zeta} \int_{-1}^{z'} \Delta_{\mathbf{x}}\Phi(\cdot, z'') dz'' dz'.$$

$$\Phi = \sum_{k=0}^N (-\mu l[\epsilon\zeta])^k \psi + \mathcal{O}(\mu^{N+1}).$$

This yields to **extended Green–Naghdi systems** [Matsuno '15,'16].

✗ The loss of derivatives is  $2N + p$  for some  $p$ . No hope of convergence when  $N \rightarrow \infty$ , by the modal analysis.

## A second method

We have another expansion of the **Dirichlet-to-Neumann operator**

$$\mathcal{G}^\mu[\epsilon\zeta]\psi = \sum_{k=0}^N \epsilon^k \mathcal{d}^k \mathcal{G}^\mu[0](\zeta, \dots, \zeta)\psi + \mathcal{O}(\epsilon^{N+1}).$$

Plugging the truncated expansion into the Hamiltonian yields a hierarchy of models [Craig&Sulem '93] and also [Dommermuth&Yue '87, West et al. '87].

This is known as the **high-order spectral method**.

- ✓ The series converge (shape-analyticity of  $\mathcal{G}^\mu$ )
- ✗ Each of the models could be ill-posed [Ambrose,Bona&Nicholls '14]
- ✓ Well-posedness can be restored without any cost [VD&Melinand]

## A second method

We have another expansion of the **Dirichlet-to-Neumann operator**

$$\mathcal{G}^\mu[\epsilon\zeta]\psi = \sum_{k=0}^N \epsilon^k \mathcal{d}^k \mathcal{G}^\mu[0](\zeta, \dots, \zeta)\psi + \mathcal{O}(\epsilon^{N+1}).$$

Plugging the truncated expansion into the Hamiltonian yields a hierarchy of models [Craig&Sulem '93] and also [Dommermuth&Yue '87, West et al. '87].

This is known as the **high-order spectral method**.

- ✓ The series converge (shape-analyticity of  $\mathcal{G}^\mu$ )
- ✗ Each of the models could be ill-posed [Ambrose,Bona&Nicholls '14]
- ✓ Well-posedness can be restored without any cost [VD&Melinand]

# The how-to guide to all (?) other methods

- 1 Select a variational formulation of the Laplace problem

$$\begin{cases} \mu \Delta_{\mathbf{x}} \Phi + \partial_z^2 \Phi = 0 & \text{in } \{(\mathbf{x}, z), -1 < z < \epsilon \zeta(t, \mathbf{x})\}, \\ \Phi = \psi & \text{on } \{(\mathbf{x}, z), z = \epsilon \zeta(t, \mathbf{x})\}, \\ \partial_z \Phi = 0 & \text{on } \{(\mathbf{x}, z), z = -1\}. \end{cases}$$

- 2 Select a vertical distribution  $\{\Psi_i(\mathbf{x}, z, \epsilon \zeta)\}_i$  and define the “finite-dimensional” vector space

$$V = \left\{ \Phi, \Phi(t, \mathbf{x}, z) = \sum_{i=0}^N \phi_i(\mathbf{x}, t) \Psi_i(\mathbf{x}, z, \epsilon \zeta(t, \mathbf{x})) \right\}.$$

- 3 Define  $\Phi_N^{\text{app}}$  as the Galerkin approximation of the variational problem.
- 4 Plug in the D2N operator, then the Hamiltonian.
- 5 Use Hamilton’s equations and enjoy.

## An example

$$V = \left\{ \Phi, \Phi(t, \mathbf{x}, z) = \sum_{i=0}^N \phi_i(\mathbf{x}, t) \Psi_i(\mathbf{x}, z, \epsilon \zeta(t, \mathbf{x})) \right\}.$$

Setting  $\Psi_i(\mathbf{x}, z, \epsilon \zeta(t, \mathbf{x})) = (z + 1)^{2i}$  (motivated by the [\[Boussinesq, Rayleigh\]](#) shallow-water expansion) yields the **Isobe–Kakinuma model**

$$\begin{cases} \partial_t \zeta + \sum_{i=0}^N \nabla \cdot \left( \frac{h^{2i+1}}{2i+1} \nabla \phi_i \right) = 0, \\ \partial_t \psi + \zeta + \epsilon \left( \sum_{i=0}^N 2ih^{2i} \phi_i \right) \left( \sum_{j=0}^N \nabla \cdot \left( \frac{h^{2j+1}}{2j+1} \nabla \phi_j \right) \right) \\ \quad + \frac{\epsilon}{2} \left( \left| \sum_{i=0}^N h^{2i} \nabla \phi_i \right|^2 + \frac{1}{\mu} \left( \sum_{i=0}^N 2ih^{2i-1} \phi_i \right)^2 \right) = 0, \end{cases} \quad (\text{IK})$$

with  $h = 1 + \epsilon \zeta$  and  $\{\phi_i\}_{i \in \{0, 1, \dots, N\}}$  solution to

$$\begin{cases} \sum_{j=0}^N \left( -\frac{2i}{(2j+1)(2i+2j+1)} h^{2i+2j+1} \Delta \phi_j - \frac{1}{\mu} \frac{4ij}{2i+2j-1} h^{2i+2j-1} \phi_j \right) = 0 \\ \quad \forall i \in \{1, \dots, N\}, \\ \sum_{i=0}^N h^{2i} \phi_i = \psi. \end{cases}$$

# An example

the Isobe–Kakinuma model

$$\begin{cases} \partial_t \zeta + \sum_{i=0}^N \nabla \cdot \left( \frac{h^{2i+1}}{2i+1} \nabla \phi_i \right) = 0, \\ \partial_t \psi + \zeta + \epsilon \left( \sum_{i=0}^N 2ih^{2i} \phi_i \right) \left( \sum_{j=0}^N \nabla \cdot \left( \frac{h^{2j+1}}{2j+1} \nabla \phi_j \right) \right) \\ \quad + \frac{\epsilon}{2} \left( \left| \sum_{i=0}^N h^{2i} \nabla \phi_i \right|^2 + \frac{1}{\mu} \left( \sum_{i=0}^N 2ih^{2i-1} \phi_i \right)^2 \right) = 0, \end{cases} \quad (\text{IK})$$

with  $h = 1 + \epsilon \zeta$  and  $\{\phi_i\}_{i \in \{0,1,\dots,N\}}$  solution to

$$\begin{cases} \sum_{j=0}^N \left( -\frac{2i}{(2j+1)(2i+2j+1)} h^{2i+2j+1} \Delta \phi_j - \frac{1}{\mu} \frac{4ij}{2i+2j-1} h^{2i+2j-1} \phi_j \right) = 0 \\ \quad \forall i \in \{1, \dots, N\}, \\ \sum_{i=0}^N h^{2i} \phi_i = \psi. \end{cases}$$

Isobe–Kakinuma = ( Hyperbolic ) × ( Elliptic ).

# An example

## the Isobe–Kakinuma model

$$\begin{cases} \partial_t \zeta + \sum_{i=0}^N \nabla \cdot \left( \frac{h^{2i+1}}{2i+1} \nabla \phi_i \right) = 0, \\ \partial_t \psi + \zeta + \epsilon \left( \sum_{i=0}^N 2ih^{2i} \phi_i \right) \left( \sum_{j=0}^N \nabla \cdot \left( \frac{h^{2j+1}}{2j+1} \nabla \phi_j \right) \right) \\ \quad + \frac{\epsilon}{2} \left( \left| \sum_{i=0}^N h^{2i} \nabla \phi_i \right|^2 + \frac{1}{\mu} \left( \sum_{i=0}^N 2ih^{2i-1} \phi_i \right)^2 \right) = 0, \end{cases} \quad (\text{IK})$$

with  $h = 1 + \epsilon \zeta$  and  $\{\phi_i\}_{i \in \{0,1,\dots,N\}}$  solution to

$$\begin{cases} \sum_{j=0}^N \left( -\frac{2i}{(2j+1)(2i+2j+1)} h^{2i+2j+1} \Delta \phi_j - \frac{1}{\mu} \frac{4ij}{2i+2j-1} h^{2i+2j-1} \phi_j \right) = 0 \\ \quad \forall i \in \{1, \dots, N\}, \\ \sum_{i=0}^N h^{2i} \phi_i = \psi. \end{cases}$$

Other models with similar features can be derived with other choices for  $\{\Psi_i(\mathbf{x}, z, \epsilon \zeta)\}_i$  [[Athanasoulis&Belibassakis '99](#)][[Lynett&Liu '04](#)][[Klopman,vanGroesen&Dingemans '10](#)]. Yet only the Isobe–Kakinuma model benefits from [[Iguchi '18](#)].

✓ full justification as a model of order  $\mathcal{O}(\mu^{1+2N})$  (recall Padé approximants).

# A brief introduction to interfacial waves

Waves at the interface between two homogeneous layers is a natural generalization of the water waves framework.

New phenomena arise.

- Role of the density contrast

↪ Boussinesq approximation, rigid-lid framework [VD '14,'16]

- Kelvin–Helmholtz instabilities (KH)

↪ ill-posedness (!)

Do shallow water models predict the propagation of sharp interfaces?

- ✓ The hydrostatic model (which extends the Saint-Venant model) *tames* KH. [Guyenne,Lannes&Saut '10][Bresch&Renardy '11]  
(WP when  $h_1, h_2 > 0$  and  $\gamma\epsilon|\mathbf{u}_1 - \mathbf{u}_2|^2 < a_0$  with some explicit  $a_0(h_1, h_2) > 0$ ).
- ✗ The Miyata–Choi–Camassa model (which extends Green–Naghdi) *overestimates* KH. [Jo&Choi '02][Lannes&Ming '15] (modal analysis).
- ✓ The Kakinuma model (which extends the Isobe–Kakinuma model) *tames* KH. [VD&Iguchi '21]  
(WP when  $h_1, h_2 > 0$  and  $\gamma\epsilon|\mathbf{u}_1 - \mathbf{u}_2|^2 < a_N$  with  $a_N \rightarrow 0$  as  $N \rightarrow \infty$ ).

# A brief introduction to interfacial waves

Waves at the interface between two homogeneous layers is a natural generalization of the water waves framework.

New phenomena arise.

- Role of the density contrast

↪ Boussinesq approximation, rigid-lid framework [VD '14,'16]

- Kelvin–Helmholtz instabilities (KH)

↪ ill-posedness (!)

Do shallow water models predict the propagation of sharp interfaces?

- ✓ The hydrostatic model (which extends the Saint-Venant model) *tames* KH. [Guyenne,Lannes&Saut '10][Bresch&Renardy '11]

(WP when  $h_1, h_2 > 0$  and  $\gamma\epsilon|\mathbf{u}_1 - \mathbf{u}_2|^2 < a_0$  with some explicit  $a_0(h_1, h_2) > 0$ ).

- ✗ The Miyata–Choi–Camassa model (which extends Green–Naghdi) *overestimates* KH. [Jo&Choi '02][Lannes&Ming '15] (modal analysis).

- ✓ The Kakinuma model (which extends the Isobe–Kakinuma model) *tames* KH. [VD&Iguchi '21]

(WP when  $h_1, h_2 > 0$  and  $\gamma\epsilon|\mathbf{u}_1 - \mathbf{u}_2|^2 < a_N$  with  $a_N \rightarrow 0$  as  $N \rightarrow \infty$ ).

## What we have done

- Rigorously justified standard and widely used models for water waves (*Saint-Venant, Green–Naghdi*);
- Rigorously justified a hyperbolic relaxation of the Green–Naghdi system (*Favrie–Gavrilyuk*);
- Formally derived a class of high-order models, and rigorously justified a family (*Isobe–Kakinuma*);
- Ventured into the world of interfacial waves (*Choi–Camassa, Kakinuma*).

## What we have not done

- Compared high-order models and their limit towards the water waves system;
- Entered the world of non-potential and/or continuously stratified flows;
- Said anything on solutions besides local-in-time existence (existence and stability of solitary waves, global existence vs finite-time singularity);
- Used models for practical problems (bottom reconstruction, fluid-structure interaction, *etc.*).

## What we have done

- Rigorously justified standard and widely used models for water waves (*Saint-Venant, Green–Naghdi*);
- Rigorously justified a hyperbolic relaxation of the Green–Naghdi system (*Favrie–Gavrilyuk*);
- Formally derived a class of high-order models, and rigorously justified a family (*Isobe–Kakinuma*);
- Ventured into the world of interfacial waves (*Choi–Camassa, Kakinuma*).

## What we have not done

- Compared high-order models and their limit towards the water waves system;
- Entered the world of non-potential and/or continuously stratified flows;
- Said anything on solutions besides local-in-time existence (existence and stability of solitary waves, global existence vs finite-time singularity);
- Used models for practical problems (bottom reconstruction, fluid-structure interaction, etc.).

## What we have done

- Rigorously justified standard and widely used models for water waves (*Saint-Venant, Green–Naghdi*);
- Rigorously justified a hyperbolic relaxation of the Green–Naghdi system (*Favrie–Gavrilyuk*);
- Formally derived a class of high-order models, and rigorously justified a family (*Isobe–Kakinuma*);
- Ventured into the world of interfacial waves (*Choi–Camassa, Kakinuma*).

## What we have not done

- Compared high-order models and their limit towards the water waves system;
- Entered the world of non-potential and/or continuously stratified flows;
- Said anything on solutions besides local-in-time existence (existence and stability of solitary waves, global existence vs finite-time singularity);
- Used models for practical problems (bottom reconstruction, fluid-structure interaction, *etc.*).

Thank you for your attention